

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

**Charles R. Broadus**

Confirmation No. 3137

Application No. 09/820,331

Filed: March 27, 2001

For: **PASSIVE PROGRAM COMPLETION  
STATUS INDICATOR FOR AN  
ELECTRONIC PROGRAM GUIDE**

Group Art Unit: 2611

Examiner: Harun M. Yimam

Date: April 27, 2006

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.
  - ☒ Copies of listed U.S. patents and U.S. patent application publications are not required for submission. (37 C.F.R. § 1.98(a)(2)(ii))
  - ☒ Copies of listed foreign patent documents and/or non-patent literature are enclosed. (37 C.F.R. § 1.98(a)(2))
  - ☐ Copies of the documents listed at (sheet/cite no.) \_\_\_\_\_ of the attached Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. \_\_\_\_\_, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
  - ☐ A copy of copending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, for \_\_\_\_\_, listed at (sheet/cite no.) \_\_\_\_\_ of the attached Form PTO-1449 (or equivalent), ☐ is enclosed / ☐ is omitted. (Copy not required if available via IFW. 1287 OG 163 (Oct. 19, 2004).).

2. This information disclosure statement is being submitted (check box a., b., or c.):
- a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or
  - b. ☐ After the period set forth in paragraph 2a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
    - i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
    - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
  - c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
3. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:
- ☐ each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
  - ☐ no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

4. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

**Digeo, Inc.**

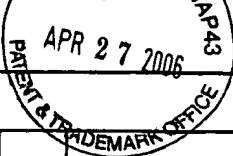
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Attorney Docket No. 50588/35

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)



ATTY DOCKET NO. 50588/35

APPLICATION NO. 09/820,331

APPLICANT(S)  
**Charles R. Broadus**

FILING DATE  
**March 27, 2001**

GROUP ART UNIT  
**2611**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	6,518,986 B1	02/11/03	Mugura	345	810	10/17/97
A2	6,782,551 B1	08/24/04	Entwistle	725	46	07/10/00
A3	6,757,906 B1	06/29/04	Look et al.	725	45	03/30/00
A4	6,037,933	03/14/00	Blonstein et al.	345	327	11/13/96
A5	6,600,503 B2	07/29/03	Stautner et al.	345	854	12/21/00

## **U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
B1	2002/0056087 A1	05/09/02	Berezowski et al.	725	9	03/30/01
B2	2002/0184627 A1	12/05/02	Alba et al.	725	39	05/28/02

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

C1	Office Action mailed March 15, 2004 for Application No. 09/820,083, filed March 27, 2001.
C2	Office Action mailed October 21, 2004 for Application No. 09/820,083, filed March 27, 2001.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO. 50588/35

APPLICATION NO. 09/820,331

Charles R. Broadus

FILING March 27, 2001

GROUP ART 2611

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A6	5,623,592	04/22/97	Carlson et al.	395	348	10/18/94
	A7	5,621,456	04/15/97	Florin et al.	348	7	06/22/93
	A8	6,151,059	11/21/00	Schein et al.	348	13	08/05/97
	A9	6,323,911 B1	11/27/01	Schein et al.	348	552	04/28/97
	A10	5,691,742	11/25/97	O'Connor et al.	345	116	05/24/95

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		C3	Office Action mailed April 8, 2005 for Application No. 09/820,083, filed March 27, 2001.
		C4	Office Action mailed July 25, 2005 for Application No. 09/820,083, filed March 27, 2001.

EXAMINER

DATE CONSIDERED

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A11	6,211,858 B1	04/03/01	Moon et al.	345	146	09/26/97

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		C5	Office Action mailed February 27, 2006 for Application No. 09/820,083, filed March 27, 2001.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.